



Form PTO/SB/78A		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/328,626
		Filing Date	June 9, 1999
		First Named Inventor	Steven A. Bove
		Group Art Unit	3624
		Examiner Name	Daniel S. Felten
Sheet	1 of 1	Attorney Docket Number	245-111

U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
	Number	Kind Code (if known)		
	5,819,263		Bromley et al.	10-1998

FOREIGN PATENT DOCUMENTS					
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
	Country Code	Number	Kind Code (if known)		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
	iRebal – Intelligent Balancer, printouts from beyondmontecarlo.com web site, Copyright © 2004-2007, printout date: January 26, 2005, software release date is unknown, 7 pages.		
	Joel Bruckenstein, "First Look: iRebal – "The Intelligent Rebalancer," printout from Financial Advisor Magazine web site: http://www.fa-mag.com/past_issues.php?id_content=3&idArticle=865&idPastIssue=92 , printout date: March 2, 2005, 2 pages.		

Examiner Signature		Date Considered	06/27/06
---------------------------	--	------------------------	----------